



Arizona Department of Transportation

Multimodal Planning Division

206 South Seventeenth Avenue Phoenix, Arizona 85007-3213

Janice K. Brewer
Governor

John S. Halikowski
Director

Scott Omer
Division Director

RE: FTA Sections 5310, 5316, and 5317 (ADOT Coordinated Mobility Programs) 2012 Funds.

The 2012 Coordinated Mobility Awards are posted online at:

http://www.azdot.gov/mpd/Transit_Programs_Grants/ProgGuide.asp

- Table A, FY 2012 Proposed Section 5310 Formula Awards (MPO regions)
- Table B, FY 2012 Proposed Section 5310 STP Awards (COG regions)
- Table C, FY 2012 Proposed Section 5316 Awards
- Table D, FY 2012 Proposed Section 5317 Awards

These four documents show the project(s) awarded by agency in each region, the amount of Section 5310, 5316 or 5317 federal funding awarded in FY 2012 for capital, operating or mobility management projects, and the Council of Government (COG) or Metropolitan Planning Organization (MPO) rank, evaluation score, or recommendation for each project. Projects are grouped by region and listed by the highest to lowest COG or MPO rank, evaluation score or recommendation. ADOT no longer uses the “A” or “B” list award structure. Therefore, all projects listed for funding will be funded, and all projects listed as “unfunded” will not be funded.

Evaluation Method

ADOT uses a two-step process to determine the funding awards for the Coordinated Mobility Programs. The first step is to have each regional COG or MPO review, evaluate, and score each application and/or project submitted for that region based on a series of considerations, which include: need for the project, appropriateness of service, financial capability, and compliance with federal and state coordination requirements. COGs and MPOs then submit those evaluations to ADOT. Those evaluations are reflected in the last column of Tables A-D.

The second step is for ADOT to consider each region’s ranked projects in the context of statewide needs and funding availability. Below are the criteria ADOT used, based on program or project type, to determine the funding awards listed on Tables A-D.

Section 5310 Formula and STP awards:

ADOT used four basic criteria for determining both Section 5310 Formula and STP funded awards. Formula funding is provided to urbanized applicants that are scored by MPOs and STP funding is provided to rural applicants that are scored by COGs.

1. Every agency recommended for funding by a COG/MPO receives at least one vehicle award.

2. ADOT prioritizes replacement over expansion vehicle requests.
3. Top ranked COG/MPO projects should receive all vehicles or equipment requested.
4. ADOT respects the COG/MPO ranking structure.

Based on the criteria, 64% of requests were funded. Approximately \$3.5 million is to be provided in federal funding out of the approximately \$5.4 million in federal funding requested.

This year's funding level is consistent with FY 2011. Last year approximately \$3.6 million in A list funding was awarded. Award percentages by region are also fairly consistent between FY 2011 and FY 2012.

Sections 5316 and 5317 awards:

ADOT used four basic criteria for determining both Sections 5316 and 5317 funded awards.

1. Only operating awards will be made in FY 2012. Capital awards will be considered in FY 2013 (applicants will need to apply again) once coordination efforts are better managed in each region and the application materials can better define vehicle need.
2. ADOT respects the COG/MPO ranking structure.
3. Both existing and new operating project funding awards were based on:
 - a. COG/MPO rank
 - b. Previous year funding level (if existing project)
 - c. Available funds in the program

Based on the criteria, 45% of requests were funded for 5316. Approximately \$1 million is to be provided in federal funding out of the approximately \$2.2 million in federal funding requested.

This year's funding level is consistent with FY 2011. Last year approximately \$1 million in A list funding was awarded. Award percentages by region are also fairly consistent between FY 2011 and FY 2012.

Based on the criteria, 27% of requests were funded for 5317. Approximately \$500,000 is to be provided in federal funding out of the approximately \$1.8 million in federal funding requested.

This year's funding level is consistent with FY 2011. Last year approximately \$458,000 in A list funding was awarded. Award percentages by region are also fairly consistent between FY 2011 and FY 2012.

Mobility Management awards:

All mobility management awards funded this year will be provided under the Section 5316 program. The only exception is MAG funded projects. Because these projects are urbanized area designated projects, MAG mobility management projects will be funded out of the Section 5310 formula program.

ADOT used four basic criteria for determining Mobility Management funded awards. These criteria were linked with analysis of the recently updated and submitted regional Transportation Coordination Plans.

1. Requests must be documented in the regional Coordination Plans.
2. Each region is to have a regional level project/mobility manager.

3. Fund existing projects at previous year levels. All existing projects must be working towards regional coordination. Existing projects will receive new work scopes that meet requirements of each region's needs. If an agency cannot meet regional needs, the project will not be funded past FY 2013 or FY 2014.
4. Most new projects will not be funded at this time, until more work is accomplished at the regional level. New projects that are funded met criteria 1 and 2, and were proven to be documented regional needs in the coordination plan.

Based on the criteria, 44% of requests were funded for Mobility Management projects out of the Section 5316 program. Approximately \$600,000 is to be provided in federal funding out of the approximately \$1.3 million in federal funding requested.

Funding Ratios and Contracts

For operating projects, funding levels are provided for all Coordinated Mobility Programs at a 50% Federal Ratio and a 50% local share commitment.

For capital and operating projects, funding levels are provided for all Coordinated Mobility Programs at a 90% Federal Ratio and a 10% local share commitment. ***The federal amounts listed for all capital projects are estimates at this time.*** Because ADOT oversees the procurement process for all vehicles and equipment, ADOT will issue a contractual Exhibit A in approximately 3 months that details the exact federal amount for each award along with the actual local match commitment required for each recipient (10% - 12% based on the capital administrative fee), once the procurements and purchase documents have been finalized.

Contractual Exhibit A documents will also be released in the same time frame for operating and mobility management projects, with the intention of executing contracts as close to October 1 as possible.

Appeal Process

Please note that the amounts of all awards are subject to the continued availability of Federal funds and to the State's appeal process. According to the policies and procedures governing ADOT's FTA Coordinated Mobility grant programs, applicants have the right to appeal the Department's decision. An appeal of ADOT's decision must be made within **ten days** of the date of this notification letter.

The applicant must use the following process to be recognized as a valid appeal. The letter of appeal must clearly identify the applicant, contact person, address, phone number, project description and grounds for appeal.

Letters of appeal must be postmarked within ten days of award notification and mailed to:

Dan Harrigan, Coordinated Mobility Programs Manager
Arizona Department of Transportation
206 S. 17 Ave., Mail Drop 340 B
Phoenix, Arizona 85007.

The Coordinated Mobility Program Manager will review the appeal and notify the applicant in writing of his decision within ten days of receipt. If the applicant is not satisfied with the Program Manager's response, an appeal may be made to the ADOT Multimodal Planning Division Director at the same address as above. This appeal must be submitted and postmarked within ten days of the initial program manager decision. A copy of the appeal must also be sent to the Coordinated Mobility Programs Manager. The Multimodal Planning

Division Director will provide a written response to the applicant within 30 days of receipt of the appeal to the Director's Office. If grant adjustments become necessary as a result of an appeal, grant awards will be revised accordingly.